

## Stocks and Shares ISA

Transfer form

PLEASE COMPLETE IN BLOCK CAPITALS AND ANSWER ALL QUESTIONS

### A Applicant's details

- 1 Mr/Mrs/Ms/Miss/Other \_\_\_\_\_ 2 Male  Female
- 3 Forename(s) \_\_\_\_\_ 4 Surname \_\_\_\_\_
- 5 Address \_\_\_\_\_  
Postcode \_\_\_\_\_
- 6 Telephone No. (Home) \_\_\_\_\_ Telephone No. (Business) \_\_\_\_\_  
Telephone No. (Mobile) \_\_\_\_\_ Email \_\_\_\_\_
- 7 Date of birth
- 8 Are you a homeowner? YES  NO
- 9 Do you have a National Insurance (NI) Number? YES  NO
- 10 If Yes please enter here

You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP, or pension order book.

### B Existing ISA details

- 1 I apply to transfer an existing ISA: \_\_\_\_\_ A/c no. \_\_\_\_\_  
from (old manager) \_\_\_\_\_ To **The Shepherds Friendly Society**  
address of the existing ISA provider \_\_\_\_\_

[and subscribe to this stocks and shares ISA for the tax year 20 / and each subsequent year until further notice].  
Delete the words in brackets if you will not be subscribing to this ISA.

- 2 Do you wish to close your existing ISA and transfer the total balance to us? YES  NO
- a. If yes, ignore (4);
- b. If no, how much of your existing ISA would you like to transfer £
- 3 Have you made subscriptions to this ISA in the current tax year? YES  NO
- 4 If you answered no to (2), would you like to transfer your payments made in the current tax year? YES  NO

**Only whole transfers of current tax year subscriptions can be accepted**

### C Adding to your ISA

In addition to the funds you have transferred to us, you can also add lump sums or regular monthly payments to your ISA, as long as you remain within the allowance for that financial year. If you are uncertain about the maximum increase in contributions you can make for the rest of the financial year, please call us on: 0161 428 1212.

- 1 Lump Sum Investment  
Amount: £  Minimum £100
- 2 Set up a new monthly Direct Debit contribution for this ISA  
Monthly premium: £  Please complete the Direct Debit form attached

Please note that the minimum total monthly contribution is £30 and the minimum increase/decrease to existing contributions is £10 per month.

## D Plan features

Before you apply for our stocks and shares ISA we need to ask you some questions. These questions are specific to this plan and they help us check that this ISA will meet your needs.

**Please read each question carefully and answer yes or no:**

- a) Do you want to save at least £30 per month or a minimum lump sum of £500, and benefit from a tax efficient savings method?  Yes  No
- 
- b) Are you willing to save over the medium to long term?  Yes  No
- 
- c) Are you willing to take a little more risk with your money to try to get a higher investment return than a typical cash savings ISA or a bank/building society savings account?  Yes  No
- 
- d) In the event of your death, are you happy for your estate to receive at least 101% of your investment, minus any money withdrawn from the account?  Yes  No

## E Your product experience

This section of your application requires us to ask you questions on your experience of using financial products. Our regulator, the Financial Conduct Authority (FCA), needs us to ask these questions so we can assess how appropriate this plan might be for you.

**Please can you tell us if you've had any of the following products within the last two years:**

- Bank, building society or NS&I savings account  Yes  No
- 
- Cash ISA or Junior ISA  Yes  No
- 
- Stocks and Shares ISA or Junior ISA  Yes  No
- 
- Lifetime ISA / Help to Buy ISA  Yes  No
- 
- Pension (workplace or private)  Yes  No
- 
- Investment funds or company shares  Yes  No

### Confirmations

I believe I have sufficient knowledge and experience to understand the plan I am applying for  Yes  No

## F Declarations and consents

For your own benefit and protection we recommend that you read these terms carefully before agreeing to them. If you have any questions or would like to discuss them in further detail, please get in touch.

**I declare that:**

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed/made payments, and will not subscribe/make payments, more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA, and
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Shepherds Friendly Society if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I declare that to the best of my knowledge and belief, the answers given above are true and complete and that no important fact has been omitted or falsely stated.
- I have received the Key Information Document (KID) and agree that this application shall form the basis of the contract with The Shepherds Friendly Society Limited, which shall be made subject to the plan's terms and conditions.
- I have read and understood the Key Information Document (KID) (It is important that you understand the Key Information Document before signing this application, please contact the Society, if there are any parts of the Key Information Document that you do not understand)

## F

## Declarations and consents

**I authorise The Shepherds Friendly Society Limited:**

- to hold my cash subscription, any other proceeds in respect of my ISA investments and any other cash;
- and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

## G

## Your personal information

**Your data**

Shepherds Friendly Society will hold your personal data in line with our Privacy Notice. The full version is available on our website - [www.shepherdsfriendly.co.uk/privacy-notice](http://www.shepherdsfriendly.co.uk/privacy-notice). It explains your rights as data subject and how we use your data. You can also request a written copy by writing to Shepherds Friendly, Haw Bank House, High Street, Cheadle, Cheshire SK8 1AL, by calling our Member Services Team on 0161 428 1212 or by email to [info@shepherdsfriendly.co.uk](mailto:info@shepherdsfriendly.co.uk).

**Your communication preferences**

Your privacy is of the highest importance to us, and unless you give us permission to do so, we will never release your Personal Information to any external company for their mailing or marketing purposes. As a valued member of Shepherds Friendly, we would love to keep you up-to date about our products and services, as well as any offers we have. You can choose if you'd like to receive this information by ticking the options below:

- Yes please, I'd like to hear about products, services and offers
- No thanks, I don't want hear about products, services and offers

If you wish to opt-out of receiving these marketing communications at any time, then please get in touch via email or phone. We will also give you the opportunity to opt-out each time we send you a marketing message in the future.

Even if you choose not to receive marketing communications, we will still send you important information via email, SMS and post from time to time. This is because we are required to do so by our regulators, the Financial Conduct Authority and the Prudential Regulation Authority. We will also give you the chance to leave your feedback by sending you surveys via email throughout your time with us, so that we can continue to develop the products and services that we offer.

**I agree to these terms and I can confirm that I have not received financial advice from Shepherds Friendly in relation to the purchase of this plan**

Please tick

Signature

Date

Full Name

**(BLOCK CAPITALS PLEASE)**

**Note: Acceptance of this application is at the discretion of Shepherds Friendly.**

**The Shepherds Friendly Society Limited** Registered Office: Haw Bank House, High Street, Cheadle, Cheshire SK8 1AL.

**Tel:** 0161 428 1212 **Fax:** 0161 428 3666 **Email:** [info@shepherdsfriendly.co.uk](mailto:info@shepherdsfriendly.co.uk) **Web:** [www.shepherdsfriendly.co.uk](http://www.shepherdsfriendly.co.uk)

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The Head office and Registered office of The Shepherds Friendly Society is based in the United Kingdom.

